

81-07-04

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PTO/SB/21 (6/98)

Approved for use through 9/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(To be used for all correspondence after initial filing)

Application No. 09/510,905

Filing Date February 23, 2000

First Named Inventor John A. Thodiyil

Group Art Unit 2665

Examiner Name Justin M. Philpott

Attorney Docket No. SUN-P3992

Total Number of Pages in this Submission:

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Technology Center 2600

ENCLOSURES (check all that apply)

- | | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee attached | <input type="checkbox"/> Assignment Papers for an application | <input type="checkbox"/> After-Allowance Communication to Group |
| <input checked="" type="checkbox"/> Amendment/Response <input checked="" type="checkbox"/> After Final | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Affidavit/Declaration(s) | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Power of Attorney by Assignee, with Revocation of Former Powers | <input checked="" type="checkbox"/> Additional Enclosure(s): |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Return Receipt Postcard |
| <input type="checkbox"/> Response to Missing Parts Notice/Incomplete Application | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Check for \$ _____ |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> _____ |
| | <input type="checkbox"/> Request for Refund | |

Remarks:



22200

PATENT TRADEMARK OFFICE

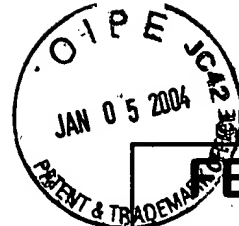
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | | | |
|-----------|--|-----------|-----------------|
| Name | Daniel E. Vaughan (Registration No. 42,199) | Date | January 5, 2004 |
| Signature | <i>Daniel E. Vaughan</i> | Telephone | 650-474-1973 |
| Address | 702 Marshall Street, Suite 310, Redwood City, CA 94063 | Facsimile | 650-474-1976 |

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the U. S. Postal Service as ☒ **Express Mail** (No. EL 978 246 434 US) or☐ **First Class Mail** in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: January 5, 2004

| | | | |
|----------------------|-------------------|-----------|-----------------------|
| Type or Printed Name | Daniel E. Vaughan | Signature | <i>Daniel Vaughan</i> |
|----------------------|-------------------|-----------|-----------------------|

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|--------------------|
| Application Number | 09/510,905 |
| Filing Date | February 23, 2000 |
| First Named Inventor | John A. Thodiyil |
| Examiner Name | Justin M. Philpott |
| Group Art Unit | 2665 |
| Attorney Docket No. | SUN-P3992 |

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TOTAL AMOUNT OF PAYMENT (\$) 0

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 50-1801
Deposit Account Name: Park, Vaughan & Fleming LLP

- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit card ☐ Money ☐ Other Order

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 750 | 2001 375 | Utility filing fee | |
| 1002 330 | 2002 165 | Design filing fee | |
| 1003 520 | 2003 260 | Plant filing fee | |
| 1004 750 | 2004 375 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES

| | | | | | | | |
|--------------------|----|----------|---|----------------|---|----------|---|
| Total Claims | 30 | -31**= 0 | X | Fee from below | 0 | Fee Paid | 0 |
| Independent Claims | 5 | -5**= 0 | X | | 0 | | 0 |
| Multiple Dependent | | | | | 0 | | 0 |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 84 | 2201 42 | Independent claims in excess of 3 |
| 1203 280 | 2203 140 | Multiple dependent claim |
| 1204 84 | 2204 42 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|---------------|--|----------|
| 1051 130 | 2051 65 | | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | | Surcharge - late provisional filing fee or cover sheet. | |
| 1053 130 | 1053 130 | | Non-English specification | |
| 1812 2520 | 1812 2520 | | Request for ex parte reexamination | |
| 1804 920* | 1804 920* | | Requesting publication of SIR prior to Examiner action | |
| 1805 1840* | 1805 1840* | | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2255 55 | | Extension for reply within first month | |
| 1252 410 | 2252 205 | | Extension for reply within second month | |
| 1253 930 | 2253 465 | | Extension for reply within third month | |
| 1254 1450 | 2354 725 | | Extension for reply within fourth month | |
| 1255 1970 | 2255 985 | | Extension for reply within fifth month | |
| 1401 320 | 2401 160 | | Notice of Appeal | |
| 1402 320 | 2402 160 | | Filing a brief in support of an appeal | |
| 1403 280 | 2403 140 | | Request for oral hearing | |
| 1451 1510 | 1451 1510 | | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | | Petition to revive - unavoidable | |
| 1453 1300 | 2453 650 | | Petition to revive - unintentional | |
| 1501 1300 | 2501 650 | | Utility issue fee (or reissue) | |
| 1502 470 | 2502 235 | | Design issue fee | |
| 1503 630 | 2503 315 | | Plant issue fee | |
| 1460 130 | 1460 130 | | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | | Processing fee for provisional applications | |
| 1806 180 | 1806 180 | | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | | Recording each patent assignment per property (times number of properties) | |
| 1809 750 | 2809 375 | | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 750 | 2810 375 | | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 750 | 2801 375 | | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | | Request for expedited examination of a design application | |

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

| | | | | | |
|-------------------|-------------------|-----------------------------------|--------|-----------|-----------------|
| Name (Print/Type) | Daniel E. Vaughan | Registration No. (Attorney/Agent) | 42,199 | Telephone | 650-474-1973 |
| Signature | | | | Date | January 5, 2004 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information & authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.